



सत्ये स्थितो धर्मः

NATIONAL LAW UNIVERSITY ODISHA

Accredited with 'A' grade by NAAC

Date: 25 June 2021

National Law University Odisha Regulations for the Degree of Doctor of Philosophy, 2018

Object:

In view of the University Grants Commission (Minimum Standards and Procedure for Award of M.Phil/Ph.D. Degree) Regulations 2016 superseding the UGC (Minimum Standards and Procedure for Awards of M.Phil/Ph.D. Degree) Regulation, 2009, NLUO Ph.D. Regulations passed by the Academic Council on November 13, 2010 are being replaced by NLUO Regulations for the Degree of Doctor of Philosophy, 2018. The new Regulation has been framed to make the NLUO Ph.D. program consistent with UGC Ph.D. Regulations of 2016. The present Regulations have incorporated the provision for inter-disciplinary research that was part of NLUO Ph.D. Regulations of 2010.

1. Title and Commencement:

- 1.1. These Regulations may be called NLUO Ph.D. Regulations of 2018.
- 1.2. These Regulations shall come in force from the Academic Year 2018-19

2. Eligibility Criteria for Admission to Ph.D. Program:

2.1 An applicant seeking admission to Ph.D. programme must have Master's Degree in Law from any recognised University securing not less than 55% marks or its equivalent in grade; or

2.2. Degree in Law and a Master's Degree in Social Science or Humanities, Management Studies or Sciences from any recognised University with not less than 55% marks or its equivalent grade; or

2.3 Obtained a Master's degree in Social Sciences, Humanities or Management Studies from any recognised University with 55% marks and have had at least two years of teaching experience or Ph.D. in any discipline.

2.4 In case of candidates belonging to SC/ST/OBC(non creamy layer) and differently abled candidates a relaxation of 5% marks from 55% to 50% or an equivalent relaxation of grade shall be done.

Explanation: Candidates possessing equivalent degree as specified above from a foreign educational institution accredited by an Assessment and Accreditation Agency which is approved, recognised or authorised by an authority, established or incorporated under a law in its home country or any other statutory authority in that country for the purpose of assessing, accrediting or assuring quality and standards of educational institutions shall be eligible to apply for Ph.D. programme in NLUO.

3. Categories of Enrolment: Candidates wishing to obtain Ph.D. degree from NLUO may apply as a full time or part time candidate. A candidate who has been enrolled as a full time candidate may later change as a part time candidate. Such a change shall not in any way affect the work already done by the candidate and the time period spent for the degree to the prejudice of the candidate.

3.1 Full Time Enrolment: The candidate enrolled for full-time Ph.D. programme shall put in residence in the University for a minimum period of 28 months spread over 3 years. 3 years is the minimum required period for completion of the Ph.D. work for the award of degree.

3.1(a). Such candidates may be paid stipends as decided and fixed by the University from time to time

3.1(b) Full time candidates shall mark their attendance with the Examination Department in a Register duly maintained by it.

3.1(c). Full time candidates who are paid stipend shall assist in academic work in the University as entrusted to them from time to time.

3.1(d). Full time candidates shall submit their Ph.D. thesis within a maximum period of 6 years.

3.1 (e). Ph.D. candidates may be given one year extension at a time after completion of six years on the submission of application addressed to the Chairperson, Research and Internationalisation Committee. The Research and Internationalization Committee shall consult the supervisor and consider the application. The Committee shall then forward the application to the Vice Chancellor with its comments. The Vice Chancellor shall decide whether extension should be given to the candidate. . If the Vice Chancellor accepts the request for extension, such candidate shall be given extension after the deposit of prescribed fee.

3.2 Part Time Enrolment:

3.2(a) The candidate enrolled for part time Ph.D. programme shall put in residence in the University for a minimum period of 28 months spread over the period between the registration as a Ph.D. scholar and submission of the thesis.

3.2.(b). Part time candidates shall submit their Ph.D. thesis within a maximum period of six years. 3 years is the minimum period required for completion of the Ph.D. work for the award of degree.

3.2(c) Ph.D. candidates may be given one year extension at a time after completion of six years on the submission of application addressed to the Chairperson, Research and Internationalisation Committee. The Research and Internationalization Committee shall consult the supervisor and consider the application. The Committee shall then forward the application to the Vice Chancellor with its comments. The Vice Chancellor shall decide whether extension should be given to the candidate. . If the Vice Chancellor accepts the request for extension, such candidate shall be given extension after the deposit of prescribed fee.

Explanation: Women candidates and Persons with Disability enrolled as full time or part time candidates under 3.1 or 3.2 may be allowed a relaxation of two years in maximum duration. In addition, the women candidates may be provided Maternity Leave/Child Leave once in the entire duration of Ph.D. for upto 240 days.

4. Admission Procedure and Admission Test:

4.1 Eligible candidates may apply in the full time or part time categories in the prescribed format published on the University website after payment of application fees as determined by the University from time to time.

4.2 Along with the application the candidate shall submit (a) An outline of the scheme of research intended for the thesis; (b) Chapter wise synopsis of the proposed work in about 2000 words; (c) A certificate from the institution concerned testifying length of service, wherever required; (d) an attested photocopy of LL.M., M.Phil, M.A., M.Sc., M.Com, M.B.A. Degrees whichever is applicable, along with mark sheet, and (e) One set of published papers, monographs, articles and books if any.

4.3 The University shall conduct a Research Entrance Test (NLUORET) for the candidates who have applied for the admission in Ph.D. program in NLUO.

4.4 The NLUORET shall be of 2 hours duration, carrying 200 marks based on multiple choice questions and questions requiring descriptive answers. Questions shall be from Research Methodology and Law.

Provided a candidate who has passed NET/JRF exam conducted by the UGC or has a Ph.D. or M.Phil degree in any discipline mentioned in Rule 2 above need not appear for the entrance test of 200 marks. Such candidate may directly come for the

presentation of the Research proposal before the Doctoral Committee as given in Rule 4.5.

4.5 Candidates who secure minimum of 50% marks in the entrance test may be called for presentation of Research Proposal before the Doctoral Committee consisting of internal and external experts constituted by the Vice Chancellor.

Provided, notwithstanding anything above for candidates who are not from law field would be selected on the basis of combined result of entrance test and presentation before the Doctoral Committee.

4.6 If the Doctoral Committee finds a candidate suitable for pursuing Ph.D. Degree Programme, the candidate shall be allowed to register for the Ph.D. program on the payment of prescribed fee. The Doctoral Committee shall mark the candidates appearing for proposal presentation out of 60 marks.

4.7 NLUO Ph.D. admission test, course work and Ph.D. thesis shall be in English language only.

4.8 In case a research scholar of another University relocates and has obtained fresh admission in NLUO, the research done by such candidate in the previous institution if approved by the Doctoral Committee at the time of presentation of the Research Proposal can be transferred and research on the same topic can be continued in NLUO provided due acknowledgment shall be given to the earlier guide.

5. Course Work:

5.1 A candidate enrolled for Ph.D. in NLUO shall be required to complete a course work in the first semester after admission.

5.2 Course work shall consist of the following:

(a) A 4 Credit course on Research Methodology of 100 marks.

(b) A 4 Credit course on research area of the candidate as decided by the Research and the Internationalization Committee (100 marks)

5.3 The candidate should secure a minimum of 55% marks in each of the courses. A candidate that fails to get minimum of 55% marks in any of the courses, shall have to reappear for the assessment in that course.

6. Research Supervisory Committee and Allocation of Research Supervisor/Guide

6.1 The Research and Internationalisation Committee shall appoint the Research Supervisor for candidates enrolled for Ph.D.

6.2 Full time Professors, Associate Professors and Assistant Professors with a Ph.D. degree and working in NLUO would eligible to be appointed as Research Supervisor/Guide.

6.2 In case of inter-disciplinary research, if required, along with a supervisor in NLUO, a co-supervisor may also be appointed by the Vice Chancellor from outside the University from the relevant field of specialisation. The Vice Chancellor may appoint external supervisor in other cases also if required.

6.3. There shall be a Research Supervisory Committee for each candidate admitted to NLUO Ph.D. programme. The Research Supervisory Committee would consist of the Research Supervisor of the candidate and the Research and Internationalization Committee of the NLUO. The Research Supervisor of the candidate would be the convenor for the purposes of the supervision of the candidate enrolled under such Supervisor.

6.4 The Research Supervisory Committee shall have the following responsibilities:

6.4(a) To guide the research scholar to develop the study design and methodology of research and identify the courses he/she may have to do.

6.4(b) To periodically review and assist in the progress of the research work of the research scholar.

7. Presentations, Report Submission and Evaluation:

7.1 (a) A candidate admitted to the NLUO Ph.D. programme shall submit a research progress report signed by the Research Supervisor every six months to the Chairperson, Research and Internationalization Committee. The candidate shall also appear before the NLUO faculty once in six months to make a presentation of the progress of his/her research work.

7.1(b) Ph.D. candidates have to make a minimum of two paper presentations in seminars/conferences and publish a paper in a refereed journal on topics relating to their research area before the submission of their thesis. Evidence of such participation and publication in the form of certificates and/or reprints shall be submitted to the Examination Department of NLUO at the time of submission of the thesis.

7.2 Before submission of the thesis, the candidate shall make a pre-submission presentation before the faculty, research scholars and others giving the details of the research and findings.

7.3 The candidate can make pre-submission presentation only after obtaining a no-objection certificate from the Research Supervisor, stating clearly that the research of the candidate is complete and is ready for final presentation. Research Supervisor shall give the certificate only after examining the draft thesis of the candidate and concluding that the work is ready for final presentation.

7.4 Faculty members and others present for the pre-submission presentations may give suggestions on the research which may be incorporated by the candidate in consultation with the Research Supervisor.

7.5 In case the Vice Chancellor in consultation with the faculty members finds that the research work done by the candidate is unsatisfactory in terms of quality, the candidate may be asked to present the final work again after incorporating the suggestions. The guide shall not approve the thesis for submission until the candidate has presented the research and findings again before the faculty members and the Vice Chancellor and others that might be present. Vice Chancellor may also invite external expert in case it is thought necessary.

Provided mere difference in views regarding any finding or theory etc. shall not render a research unsatisfactory.

7.6 The candidate shall submit five copies of the thesis along with a soft copy each one of them accompanied by a declaration of the candidate and a certificate of approval by the Research Supervisor/guide.

7.7. The candidate shall submit a turnitin report of the thesis at the time of submission of the thesis. As per the turnitin report text match should not be more than 10%. The Research Supervisor/Guide shall not give approval without a satisfactory turnitin report as specified in this Rule.

Provided names of the cases and their citation, names of the judges and writers or other persons, institutions and organizations, provisions of the legislations and treaties quoted after reference to such legislations and treaties, and writings of other writers quoted with due acknowledgment, table of contents, bibliography, preface and generic terms shall not be included in the 10% turnitin similarity index. Purpose of the above provision is only to prevent plagerism as per requirements of the "University Grants Commission (Promotion of Academic Integrity and Prevention of Plagiarism in Higher Educational Institutions) Regulations, 2018".

7.8. The thesis shall not include any other work done by the candidate for any other degree successfully completed at the present university or elsewhere.

7.9. The thesis shall be evaluated by two external experts and the supervisor. External experts shall be chosen by the Vice Chancellor from the Panel of 6 experts given by the Research Supervisor/Guide.

7.10 If all the three examiners approve the thesis for the award of degree and viva voce, the thesis shall be considered to be approved for viva voce. If both the external examiners reject the thesis or ask for resubmission, the thesis may be considered to have been rejected and the candidate may be asked to resubmit the thesis. If one of the external examiners does not approve the thesis for award of degree, the thesis shall be sent to a third examiner from the Panel of names given by the Research Supervisor/Guide. If the third examiner approves the thesis for the award of degree, thesis shall be considered to have been approved for viva-voce. If the third examiner does not approve the thesis for award of degree the thesis shall be considered to have been rejected and the candidate may be asked to resubmit the thesis.

7.11. After receiving positive report by the two external examiners and the guide, viva voce in the form of public defence shall be conducted on the thesis in the presence of the Vice Chancellor, one of the two external experts who have approved the thesis for award of degree, research supervisor/guide, faculty members research scholars and others who may be present. The candidate shall make a power point presentation of the thesis and defend the findings before this panel.

7.12 The candidate whose thesis has been rejected by the external examiners shall re-register and submit the thesis within two years.

7.13 The candidate whose thesis has been asked to be resubmitted by the external examiners with modifications shall resubmit the revised thesis within the maximum time allowed by this Regulations for submission of the thesis.

7.14 All the requirements of Rule 7 shall apply in case of candidates covered under Rule 7.12 and 7.13.

8. Award of Degree:

8.1 After receiving the report of all three examiners indicating that degree may be awarded to the candidate and completion of the viva voce, the Vice Chancellor shall recommend candidate for award of degree to the Academic Council and the Executive Council of the University. After approval of the Academic Council and the Executive Council, degree of Doctor of Philosophy may be conferred on the candidate in the next Convocation.

8.2 The University may decide not to confer the degree on the candidate or cancel the degree already conferred after specifying in writing very strong reasons for the same such as new evidence showing that the work fully or partially has been

submitted for another degree, or it has been copied from another work which could not be discovered earlier or the candidate has been guilty of an act involving serious moral turpitude.

8.3 A provisional certificate may be issued to the candidate by the Vice Chancellor, after completion of viva voce in case it is needed and requested by the candidate.

8.4 Notwithstanding anything above, the Academic Council and the Executive Council on the recommendations of the Vice Chancellor may decide to confer an Honorary Doctorate on persons who have made significant academic contribution in law or related academic field as evidenced by research, publications or international recognition for their contribution in the relevant field.

Fees: Fees to be charged by the applicants for the Ph.D. programme in NLUO and by the candidates admitted in the University is given below:

Fee structure (Ph.D. Course) For Full time Candidates:

Major Heads	Fees
Application Fee	Rs. 3,000/-
Registration Fee	Rs. 25,000/-
Coursework Examination Fees	Rs. 1,000/-
Thesis Evaluation Fee (at the time of submission of thesis)	Rs. 20,000/-
Continuation fees if not completed within three years	Rs. 10,000/- per year for a maximum of 2 years
Thesis Resubmission fee (if applicable)	Rs. 20,000/-
Students Welfare Fund	Rs. 5,000/- per Year
Internet Fees	Rs. 5,000/- per Year
Library Fees	Rs. 5,000/- per Year
Electricity Charges	Rs. 10,000/- per Year
Hostel Fees	Rs 22,000/- per Year

In addition to the above, students have to pay such sum as may be notified by the Mess Committees of the University for food expenses during their stay.

Fee structure (Ph.D Course) for Part – Time Candidates

Major Heads	Fees
Application Fee	Rs. 3,000/-
Registration Fee*	Rs. 25,000/-
Coursework Examination Fees	Rs. 1,000/-
Thesis Evaluation Fee (at the time of submission of thesis)	Rs. 20,000/-
Continuation fees if not completed within three years	Rs. 10,000/- per year for a maximum of 2 years
Thesis Resubmission fee (if applicable)	Rs. 20,000/-
Students Welfare Fund*	Rs. 5,000/- per Year
Internet Fees*	Rs. 5,000/- per Year
Library Fees*	Rs. 5,000/- per Year

In addition to the above, students have to pay such sum as may be notified by the Mess Committees of the University for food expenses during their stay.

*The faculty members (Asst. Professors/ Research Associates) of NLU Odisha are exempted from payment of Registration fees, Students welfare fund, library fund and internet fee. However they have to pay other fees as mentioned above.